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Kurt A. Summe, Reg. No. 36.023

PATENT

ATTY. DOCKET NO: MELV-27US/119

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

David Boyd Melvin

Art Unit:

3738

Serial No.

10/667,877

Examiner:

Not yet assigned

Filed:

September 23, 2003

1155

Title:

Conf. No.:

BASAL MOUNTING CUSHION FRAME COMPONENT TO FACILITATE

EXTRINSIC HEART WALL ACTUATION

Cincinnati, Ohio

September 1, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith which is imposed by Rule 56 (37 C.F.R. §1.56), the art listed on the attached form PTO-1449 is called to the attention of the U.S. Patent and Trademark Office in connection with the above identified patent application. Copies of the cited references are enclosed. No representation is made that the cited art is the only art or that the cited art represents the best art. The Examiner is urged to consider the cited references and to make an independent decision with respect to their materiality.

Applicant does not believe that any fees are due in connection with this submission as there has yet to be a first Action in this case. However, if such petition is due or any fees are necessary, the Commissioner may consider this to be a request for such and charge any necessary fees to Deposit Account 23-3000.

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. (MODIFIED) 10/667.877 PATENT AND TRADEMARK OFFICE MELV/27US **APPLICANT** INFORMATION DISCLOSURE SEP 0 7 2004 STATEMENT BY APPLICANT David Boyd Melvin **GROUP** FILING DATE (Use several sheets if necessary) September 22, 2003 3738 U.S. PATENT DOCUMENTS FILING DATE EXAMINER ISSUE IF APPROPRIATE **SUBCLASS** CLASS INITIAL PATENT NUMBER DATE PATENTEE 3 8 4 02/06/01 A.A Mortier et al. A.B A.C A.D A.E A.F A.G A.H A.I A.J A.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION** DOCUMENT **PUBLICATION** COUNTRY OR NUMBER DATE PATENT OFFICE **CLASS SUBCLASS** (YES/NO) A.L WO 00/18320 04/06/00 International A.M WO 01/91667 12/06/01 International A.N WO01/67985 02/16/01 International A.O A.P A.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) A.R Melvin et al., Cyclic Device for Restructuring Heart Chamber Geometry, US2003/O23132, published January 30, 2003. A.S A.T DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

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